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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Craig W. Lindsley et al. PEC 2 0 2004

Serial No. 10/510,066

Filed: October 4, 2004

For: INHIBITORS OF AKT ACTIVITY

Art Unit: To be determined

Examiner: To be determined

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Sir:

- 1. In compliance with 37 C.F.R. 1.97, submitted on the attached form herewith is a list of patents, publications or other information which are requested to be made of record in this application. This Information Disclosure Statement is not an admission that any patent, publication or other information referred to herein is "prior art" for this invention. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b).
- 2. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.
- 3. Applicants respectfully request that the Examiner initial the attached form after reviewing the pertinence of each reference.
- 4. Pursuant to 37 C.F.R. 1.98 (a)(2)(ii), copies of each cited U.S. patent and each U.S. patent application publication are not enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date appearing below.

MERCK & CO., INC.

By StophomioR. Ruardoate 12:16-04

## INFORMATION DISCLOSURE STATEMENT

5. Pursuant to 37 C.F.R. 1.98(d), copies of references listed on the attached form that were submitted to or cited by the Office in a related application upon which the instant application relies for an earlier filing date under 35 U.S.C. 120 are not enclosed. Related application(s) in which references were submitted to or cited by the Office are as follows:

	RELATED APPLICATION			
U. S. SERIAL NUMBER	FILING DATE	MERCK CASE		

			,
If th	nis is inconvenient, additional copies will be submitted upon reques	st.	
(	6. In accordance with 37 C.F.R. 1.97, (check one)		
$\checkmark$	the attached information is filed within three months of the filing da	ate of the captioned case.	
	the attached information is filed more than three months after the Office Action on the merits.	filing date but prior to the m	ailing of a first
	the attached information is being filed more than three months aft Office Action on the merits, but before the mailing date of a Final authorization is therefore given to charge Deposit Account No. 13	Action or Notice of Allowand	ce. The enclosed
	the undersigned certifies that each item of information contained in cited in any communication from a foreign patent office in a count months prior to the filing of this Statement.	n this Information Disclosur erpart foreign application no	e Statement was first ot more than three
	the undersigned certifies that no item of information contained in a communication from a foreign patent office in a counterpart fore person signing the certification after making reasonable inquiry, w C.F.R. 1.56(c) more than three months prior to the filing of this St.	ign application, and, to the areas known to any individual	knowledge of the
		Respectfully submitted,	
		Nel 1	///
		By: Matthew A. Leff	
		Attorney For Appl	icant(s)
		Reg. No. 50,149	
		MERCK & CO., INC.	
		Patent Dept., RY60-30	
		P.O. Box 2000 Rahway, N.J. 07065-0907	,
		(732)594- <u>1404</u>	
		Date: December 16, 2004	

91	sbstitute for form 1449A/PTO			COMPLETE IF KNOWN			
	NFORMATION 1	DI	OSURE	Application Number	10/52		
				Filing Date	October 4, 2004		
3	STATEMENT BY APPLICANT			First Named Inventor	Craig W. Lindsley		
				Group Art Unit	To be determined		
	(use as many sheets	as n	ecessary)	Examiner Name	To be determined		
Sheet	1	of	2	Attorney Docket Number	21072YP		

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.	U.S. Patent Document  Number  Kind Code (if known)			Date of Publication of Cited Document MM-DD-YYYY		
		6 329 375		Tang, et al.	12/11/2001		
		2002/0127214		Hemmings	09/12/2002		
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.	Office		n Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		PCT	01/34607			Yissum Research Dev. Co.	05/17/2001
		PCT	01/91754			Peptor, Ltd.	12/06/2001
		PCT	99/42461			Warner-Lambert Company	06/26/1999
		PCT	97/22360			Medical Research Council	06/26/1997
					Ŀ	BEST AVAILABLE COP	Y

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance. Link MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature Date Considered

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6	bstitute for form 1449B/PTO			COMPLETE IF KNOWN			
	NFORMATIO	N DI	OSURE	Application Number	10/5		
				Filing Date	October 4, 2004		
3	STATEMENT BY APPLICANT			First Named Inventor	Craig W. Lindsley		
		•		Group Art Unit	To be determined		
	(use as many she	ets as n	ecessary)	Examiner Name	To be determined		
Sheet	2	of	2	Attorney Docket Number	21072YP		

NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Examiner Cite Include name of the author, title, date, page(s), volume-issue number(s) and place of publication.							
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Examiner Signature		Date Considered	
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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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